Dispersed Structured Light for Hyperspectral 3D Imaging

Suhyun Shin$^1$ Seokjun Choi$^1$ Felix Heide$^2$ Seung-Hwan Baek$^1$

$^1$POSTECH $^2$Princeton University

Abstract

Hyperspectral 3D imaging aims to acquire both depth and spectral information of a scene. However, existing methods are either prohibitively expensive and bulky or compromise on spectral and depth accuracy. In this paper, we present Dispersed Structured Light (DSL), a cost-effective and compact method for accurate hyperspectral 3D imaging. DSL modifies a traditional projector-camera system by placing a sub-millimeter thick diffraction grating film front of the projector. This configuration enables dispersing structured light based on light wavelength. To utilize the dispersed structured light, we devise a model for dispersive projection image formation and a per-pixel hyperspectral 3D reconstruction method. We validate DSL by instantiating a compact experimental prototype. DSL achieves spectral accuracy of 18.8 nm full-width half-maximum (FWHM) and depth error of 1 mm, outperforming prior work on practical hyperspectral 3D imaging. DSL promises accurate and practical hyperspectral 3D imaging for diverse application domains, including computer vision and graphics, cultural heritage, geology, and biology.

1. Introduction

Hyperspectral 3D imaging aims to capture both depth and spectrum per pixel. Allowing for geometric-spectral analysis of a scene [?? ?? ??], this imaging modality has potential applications across diverse domains, including food ripeness detection [??], mineral detection [??], art authentication [??], classification [??], and cultural heritage preservation [??].

Despite of the potential in capturing rich geometric and spectral information, existing hyperspectral 3D imaging methods are often impractical, due to the high instrumentation costs, large form factor, and low accuracy. Specifically, high-end systems such as using coded-aperture snapshot spectral imaging systems (CASSI) and liquid-crystal tunable filters provide accurate depth and spectral information, however mandate high cost and large form factor [?? ?? ?? ?? ??]. In contrast, affordable hyperspectral 3D imaging systems suffer from low accuracy of depth and spectrum [?? ?? ?? ?? ??].

In this paper, we exploit dispersion, a phenomenon where light rays are spatially redirected according to their wavelength either by refraction or diffraction. Using the optical dispersion, we present dispersed structured light
aiming for practical and accurate hyperspectral 3D imaging. DSL augments a conventional projector-camera system with diffraction grating film, which adds a negligible cost and size of around 10 USD with a sub-millimeter thickness. The diffraction grating in front of the projector spatially disperses broadband projector light based on its wavelength, resulting in a dispersed pattern consisting of several diffraction orders [?].

Specifically, zero-order diffraction permits light to pass through the diffraction grating as if the grating is not present, enabling us to exploit it for 3D imaging. First-order diffraction changes the direction of a light ray based on wavelength, resulting in dispersed structured light patterns that aid hyperspectral reconstruction. We develop a model for dispersive projection image formation and a per-pixel hyperspectral 3D reconstruction method using multi-order diffractions.

DSL achieves an average depth error of 1 mm and spectral FWHM of 18.8 nm in the visible spectrum, outperforming existing practical hyperspectral 3D imaging method [?] that has a spectral FWHM of 83 nm.

In summary, we make the following contributions.

- We present dispersed structured light that enables compact, low-cost, and high-quality hyperspectral 3D imaging by augmenting a projector-camera setup with a diffraction grating film.
- We develop a model for dispersive projection image formation and per-pixel hyperspectral 3D reconstruction method that considers both zero-order and first-order diffractions.
- We perform extensive evaluations and show that DSL achieves an average depth error of 1 mm and spectral FWHM of 18.8 nm, outperforming the state-of-the-art affordable hyperspectral 3D imaging methods.

2. Related Work

Hyperspectral 3D Imaging Existing work on hyperspectral 3D imaging typically combines the field of hyperspectral imaging [? ? ? ? ?] with depth imaging [? ? ?]. Specifically, researchers have paired CASSI [? ? ? ?] with structured light [? ? ?], time-of-flight camera [? ?], light-field camera [? ? ?], and stereo [? ?]. An alternative to CASSI is the use of spectral bandpass filters with structured light [? ? ? ?] or a light field camera [? ? ?]. Xu et al. [? ? ?] present a hyperspectral projector that incorporates collimation optics, diffraction grating, and digital micro-mirror device. Although these systems acquire accurate hyperspectral 3D information, their increased complexity due to relay lenses, narrow-band spectral filters, and plate-based dispersion optics results in a high building cost and large form factor.

Several methods have explored hyperspectral 3D imaging with compact setups. Baek et al. [? ? ? ? ?] propose a diffractive optical element (DOE) producing a point spread function (PSF) that varies with scene spectrum and depth, facilitating single-shot hyperspectral 3D imaging through blur analysis. However, the depth and spectral reconstruction accuracy are limited due to the low-frequency characteristics of the PSF. Li et al. [? ? ?] employ a projector-camera setup to capture a scene with varying trichromatic projector primaries with known spectra, allowing for hyperspectral reconstruction. Despite its practicality, the spectral accuracy is limited by large spectral bandwidth of the projector primary spectra, resulting in 83 nm FWHM. In comparison, the proposed DSL enables accurate hyperspectral 3D imaging with 18.8 nm FWHM and average depth error of 1 mm by dispersing projector light at a cost and form factor on-par with conventional structured light systems.

Structured Light Structured light techniques project illumination patterns onto a scene and analyze the reflected light using a camera [? ?]. The projected patterns enable establishing correspondence between camera and projector pixels for 3D imaging. DOEs, combined with narrow-band coherent laser, have often been employed as cost-effective components for generating structured light [? ? ?]. Employing a conventional projector instead of the DOE-based laser illumination allows for using multiple patterns in a programmable manner, significantly enhancing depth accuracy [? ? ? ?]. Various structured light patterns have been proposed to facilitate 3D imaging robust to global illumination [? ? ? ? ?], light transport analysis [? ? ? ? ?], and energy-efficient 3D imaging [? ? ? ? ?]. Introducing polarizing optics to a conventional projector further enhances its capability, making 3D imaging of translucent objects and polarimetric light transport analysis feasible [? ? ?]. The proposed DSL augments structured light by only placing a diffraction grating film in front of a projector, enabling accurate hyperspectral 3D imaging with a compact setup.

with a diffraction grating film, allowing to keep the form factor compact and cost low. Instead of directly masking the spectrum emitted from the projector using additional components, we analyze and exploit how structured light patterns are dispersed and illuminate a scene.

3. Dispersive Projection Image Formation

Imaging Setup We devise the proposed DSL imaging system with the goals of compactness and affordability. To this end, we combine a conventional trichromatic camera (FLIR GS3-U3-32S4C-C) with a trichromatic projector (LG PH30N) and a diffraction-grating film (Edmund #54-509) mounted in front. This configuration makes light from the projector undergo dispersion, and, as such, patterns emitted from the projector are spatially dispersed depending on wavelength. Figure 2 shows our experimental prototype and a captured image with a projector pattern composed of four squares. For zero-order diffraction, light does not experience wavelength-dependent changes, and the projected squares retain their original shapes. For first-order diffractions, each square undergoes wavelength-dependent shift.

3.1. Image Formation

Background on Diffraction Grating Diffraction grating consists of repetitive, even-spaced micron-scale grooves characterized by the groove density $g$. The interaction of light with the grating incurs wavelength-dependent diffraction [?], which is modeled in the geometric-optics perspective as a redirection of the light path:

$$d g (v, m, \lambda) = \left(\frac{-m g \lambda + v_x}{d_x}, \frac{v_y}{d_y}, \sqrt{1 - d_z^2 - d_y^2}\right), (1)$$

where $m$ is the diffraction order, $v = (v_x, v_y, v_z)$ is the incident light vector. $x$-axis here is aligned with the groove direction and $\lambda$ is the wavelength. $d g (v, m, \lambda)$ is the ray direction after diffraction. In addition to the direction change, the diffraction grating introduces a change in light intensity depending on diffraction order and wavelength, represented as diffraction efficiency $\eta_{m, \lambda}$ [?].

We use a diffraction grating which creates positive and negative first-order diffractions appearing to the left and right sides with respect to the zero-order component as shown in Figure 2(c). Note that higher-order diffractions are not detected inside of our camera FoV.

Forward Model For a projector pattern $P(q, c)$, where $q$ is a projector pixel and $c$ is a color channel (R, G, or B), the light intensity $L(q, \lambda)$ emitted for each wavelength $\lambda$ is

$$L(q, \lambda) = \sum_c \Omega_{c, \lambda}^{\text{proj}} P(q, c), (2)$$

where $\Omega_{c, \lambda}^{\text{proj}}$ is the projector emission function shown in Figure 3(a).

The light then passes through the diffraction grating and splits into multiple light rays with varying propagation directions $d g (v, m, \lambda)$, depending on diffraction order $m$ and wavelength $\lambda$. Here, we focus on a ray corresponding to wavelength $\lambda$ and diffraction order $m$. The ray will propagate and eventually illuminate a scene point $S$ at depth $z$. The reflected light from the scene point will be captured by a camera pixel $p$. We then establish a correspondence function $\psi$ that maps the camera pixel $p$, depth $z$, diffraction order $m$, and wavelength $\lambda$ to the corresponding projector pixel $q_{m, \lambda}$ that emitted the light ray illuminating the scene point:

$$q_{m, \lambda} = \psi (p, z, m, \lambda). (3)$$

We proceed to describe our full image formation before describing how we model the correspondence function $\psi$.

The intensity at the camera pixel $p$ and color channel $c$ then can be modeled as

$$I(p, c) = \sum_{\lambda \in \Lambda} \Omega_{c, \lambda}^{\text{cam}} H(p, \lambda) \frac{1}{d(p)^2} \sum_{m=-1}^{1} \eta_{m, \lambda} L(q_{m, \lambda}, \lambda), (4)$$

Figure 2. Experimental prototype. (a) & (b) Our prototype consists of an RGB projector equipped with a diffraction grating film, and an RGB camera. (c) An example projector pattern and its corresponding captured image, exhibiting clear first-order diffraction.
where \( \Omega_{c,\lambda} \) is the camera response function shown in Figure 3(a), \( \lambda = [\lambda_1, \cdots, \lambda_{47}] \) is the set of 47 wavelengths sampled from 430 nm to 660 nm with 5 nm interval. \( H(p, \lambda) \) is the hyperspectral intensity, which we aim to estimate. \( d(p) \) is the propagation distance from the projector to the scene point \( S \), as such, the term \( 1/d(p)^2 \) describes inverse-square law.

The dispersed structured light term in Equation (4) describes the light intensity projected to the scene point \( S \) by aggregating zero- and first-order light energy \( L(q_{m,\lambda}(\lambda)) \) weighted by the corresponding diffraction efficiency \( \eta_{m,\lambda} \). In the following, we model the correspondence function \( \psi \) introduced in Equation (3).

3.2. Correspondence under Dispersion

Zero-order Diffraction  For zero-order diffracted light \((m = 0)\), light transport can be analyzed as if no diffraction grating exists [?]: given a camera pixel \( p \) and its depth \( z \), we obtain the corresponding projector pixel \( q_{0,\lambda} \) for any visible wavelength \( \lambda \) by applying perspective unprojection and projection [?]:

\[
q_{0,\lambda} = \psi(p, z, 0, \lambda) = \text{project}(\text{unproject}(p, z)), \tag{5}
\]

where \( \text{unproject}() \) is the perspective unprojection from a camera pixel \( p \) to a 3D point \( S \) using the depth \( z \).

\( \text{project}() \) is the perspective projection from the 3D point \( S \) to the projector.

First-order Diffractions  Correspondence for first-order diffractions \((m = -1 \text{ or } 1)\) is more challenging to model. Due to the direction change by diffraction grating, direct perspective projection from the scene point \( S \) to the projector is no longer valid. Instead, we need to identify a point \( r \) on the diffraction grating where the light ray from the projector center \( t_{\text{proj}} \) diffracts at \( r \) and reaches to the scene point \( S \). This is illustrated in Figure 3(b) and by using Equation (1) it can be formulated as

\[
\min_r \|d g(t_{\text{proj}} R, m, \lambda) - r S \|_2, \tag{7}
\]

where \( \vec{x} \) represents the normalized vector of \( \vec{x} \). While the formulation is intuitive, solving Equation (7) over the entire 3D volume, for each wavelength \( \lambda \) and diffraction order \( m \), is prone to calibration errors and is computationally demanding when approached with iterative numerical methods like the Newton-Raphson method or root-finding algorithms.

Hence, instead of directly solving Equation (7), we develop a data-driven model for efficient and accurate correspondence mapping of the first-order diffractions. We prepare samples: first-order corresponding projector pixel positions \( d_{m,\lambda} \) for wavelengths \( \lambda' \in \Omega_{\lambda} \), grid-sampled camera pixels \( p' \in \Omega_p \), and depths \( z' \in \Omega_z \). Section 5 describes the sample acquisition. We then fit an exponential function to the samples for modeling depth dependency of the correspondence function \( \psi \) and perform linear interpolation for spatial and spectral dimensions. As the first-order diffraction in our prototype occurs along the horizontal axis, and our hyperspectral reconstruction only uses horizontal correspondence, we only model the horizontal coordinate of the correspondence function, i.e., the column index. More details on our data-driven correspondence model can be found in the Supplemental Document.

Our data-driven first-order correspondence model obtains a mean reprojection error of 1px and can be efficiently evaluated with tabulation in \( O(1) \). Figure 3(c) shows the depth dependency of the modeled correspondence function \( \psi \). Figure 3(d) shows the spatially-varying correspondence map for depth 700 mm, wavelength 430 nm, and the diffraction orders \( m = 1 \) and \( -1 \).

4. Hyperspectral 3D Reconstruction

With the image formation model from above in hand, we estimate a depth map using binary-code structured light and then reconstruct the hyperspectral image using scanline structured light.

4.1. Depth Reconstruction

For depth estimation, we use binary-code structured light patterns shown in Figure 4 and represented as
Intensity 60 40 0 τ by using RGB-to-gray conversion and thresholding with a tuned intensity $P\psi$ aim to estimate the zero-order correspondence Binary-code Decoding From the observation vector, we shown in Figure 4(c). Validation of Binary-code Decoding for DSL An im-
portant question is whether the conventional binary decod-
ing method binarizes the captured intensity into 0 or 1 based on its intensity level $i$. For the $i$-th projector pixel $P_i$, the conventional decoding method binarizes the captured intensity $I_i$ into 0 or 1 based on its intensity level by using RGB-to-gray conversion and thresholding with a constant $\tau$. The binary code is then decoded to a decimal number, which is the location of the corresponding projector pixel $q_0, \lambda$.

Validation of Binary-code Decoding for DSL An im-
portant question is whether the conventional binary decod-
ing method works for DSL in the presence of dispersed light. We provide both mathematical and experimental verifi-
cation. In theory, this can be confirmed if the intensity lit by the zero-order diffracted light exceed the intensity when not receiving the zero-order diffracted light. We derive the minimum captured intensity $I_{\text{min}}$ when a scene point is only illu-
minated by the zero-order structured light. Also, we model the maximum captured intensity $I_{\text{max}}$ when the zero-order structured light does not illuminate the point and the first-order light illuminates. The quantities are written as

$$I_{\text{max}}(p, c) = \sum_{\lambda} \Omega_{c,\lambda}^{\text{cam}} H(p, \lambda) \eta_{0, \lambda} \sum_{c'} \Omega_{c',\lambda}^{\text{proj}}.$$  \hspace{1cm} (8)

$$I_{\text{min}}(p, c) = \sum_{\lambda} \Omega_{c,\lambda}^{\text{cam}} H(p, \lambda) \sum_{m=1, -1} \eta_{m, \lambda} \sum_{c'} \Omega_{c',\lambda}^{\text{proj}}.$$  \hspace{1cm} (9)

We then compare both quantities, giving an observation that the inequality $I_{\text{off}} < I_{\text{on}}$ holds in our setup configuration, because for all wavelength $\lambda$ the following inequality holds $\eta_{0, \lambda} \sum_{c'} \Omega_{c',\lambda}^{\text{proj}} > \sum_{m=1, -1} \eta_{m, \lambda} \sum_{c'} \Omega_{c',\lambda}^{\text{proj}}$. This validates the use of conventional binary-code decoding for DSL in theory. Refer to the Supplemental Document for the derivation details.

Next, we further validate the applicability of binary de-
coding on $10^6$ simulated samples with random hyperspectral reflectance for a planar object with Gaussian measurement noise. Example observation vectors with and without considering first-order diffractions are shown in Figure 4(c). We set the Gaussian standard deviation 0.01 corresponding to the real-world noise in our hardware measurements. The average depth error after decoding, shown in Figure 4(d), is 1.04 mm in the presence of first-order diffractions. This experiment further validates the use of binary-code decoding for DSL.

4.2. Hyperspectral Reconstruction

Once depth $z$ is obtained, we proceed to estimate per-pixel hyperspectral intensity $H = [H(p, \lambda_1), \ldots, H(p, \lambda_N)] \in \mathbb{R}^{N \times 1}$. To this end, we use the scanline structured light patterns $[P_1, \ldots, P_{K_s}]$ that scans through the whole column with a line width $w$. $K_s = 318$ is the number of scanline patterns. Figure 5 shows that the first-order diffracted light from the $i$-th scanline pattern produces a narrow-band illumination spread across multiple columns. Consequently, scanning columns from left to right enables illuminating each scene point with every narrow-band light, facilitating high-quality hyperspectral reconstruction.

Pixel Intensity under Scanline Patterns For the $i$-th scanline pattern, a camera pixel $p$ receives either zero-order diffraction, first-order diffractions, or no illumination at all. This simplifies our image formation as

$$I_i(p, c) = \begin{cases} \sum_{\lambda} \Omega_{c,\lambda}^{\text{cam}} H(p, \lambda) \eta_{0, \lambda} L(q_0, \lambda) & \text{for zero order,} \\ \sum_{\lambda} \Omega_{c,\lambda}^{\text{cam}} H(p, \lambda) \eta_{m, \lambda} L(q_m, \lambda) & \text{for first orders,} \\ 0 & \text{otherwise.} \end{cases} $$  \hspace{1cm} (10)

Figure 5(c) shows the intensity graph of a camera pixel $p$ for varying index of the scanline patterns:
We formulate a per-pixel optimization problem for accurate hyperspectral reconstruction:

$$\argmin_{H} \sum_{m=-1}^{1} \kappa_m \| A_m H - I_m \|^2_2 + \kappa_\lambda \| \nabla_\lambda H \|^2_2, \quad (13)$$

where $\kappa_m$ is the spatially-varying per-pixel balancing weight for the diffraction order $m$. Refer to the Supplemental Document how we set the balancing weight. $A_m$ is the system matrix defined as

$$A_m = \begin{cases} \sum_\lambda \Omega_{\text{cam},\lambda} \eta_{m,\lambda} L(q_{m,\lambda}, \lambda) & \text{for zero order,} \\ \Omega_{\text{cam},\lambda} \eta_{m,\lambda} L(q_{m,\lambda}, \lambda) & \text{for first orders.} \end{cases} \quad (14)$$

$\nabla_\lambda$ is the gradient operator along the spectral axis. The first term in Equation (13) accounts for the reconstruction loss across the multiple diffraction orders. The second term is the spectral smoothness prior [? ]. We use gradient descent for the optimization, which takes three minutes to converge. Our hyperspectral reconstruction method operates on a per-pixel basis and exploits first-order diffractions, enabling accurate hyperspectral image reconstruction.

5. Calibration

We calibrate the image formation parameters of the projector, camera, and diffraction grating, as briefly described in the following. A detailed description of the calibration procedure can be found in the Supplemental Document.

Diffraction Efficiency To calibrate the diffraction efficiency $\eta_{m,\lambda}$, we measure the intensity of $m$-order diffracted light at each wavelength $\lambda$ projected onto a Spectralon sample. We use spectral bandpass filters at 10 nm intervals, from 430 nm to 660 nm. Diffraction efficiency is then computed as the intensity ratio of each first-order wavelength measurement over the zero-order intensity.

Spectral Response and Emission Functions The projector spectral emission function, $\Omega_{\text{proj},\lambda,c}^{\text{em}}$, was obtained by projecting red, green, and blue dots onto a Spectralon target, measuring the reflected radiance with a spectroradiometer (JETI Speccos 1211), and normalizing the results with Spectralon reflectance. For the camera response function, $\Omega_{\text{cam},\lambda,c}$, we use the data provided by the camera manufacturer. For both emission and response functions, we perform refinements of which details can be found in the Supplemental Document. Figure 3(a) shows the calibrated functions.

First-order Correspondence Model To calibrate the first-order correspondence model described in Section 3.2, we acquired images of flat Spectralon surfaces at five different depth positions with scanline illumination patterns present. These images were captured using multiple bandpass filters. For sampled camera pixels, denoted $p'$, we identified the corresponding projector pixel $q'_{m,\lambda}$ from the captured images. Using the samples, we obtain the data-driven corresponding model $\psi$.

6. Assessment

Hyperspectral 3D Reconstruction DSL enables accurate hyperspectral 3D imaging. We estimate a hyperspectral
image with 46 channels, from 430 nm to 660 nm at 5 nm intervals, along with a depth map. Figures 1 and 6 shows reconstruction results for two real-world scenes.

Reconstruction of High-frequency Spectral Curves
Figure 7 shows the results of our DSL in comparison with Li et al.\cite{Li2020}, state-of-the-art practical hyperspectral 3D imaging method. We captured a scene containing nine bandpass spectral filters, each with a bandwidth of 10 nm. DSL accurately identifies the center wavelengths and achieves an average FWHM of 18.8 nm. In contrast, Li et al.\cite{Li2020} rely on broadband RGB illuminations of projector, resulting in a significantly broader FWHM of 83 nm, due to the limited capability in differentiating high-frequency spectral features. This performance gap mainly originates from our use of first-order diffractions. We test the DSL without using the first-order term in Equation (13). As expected, the resulting spectra is overly smooth with 50 nm FWHM and cannot detect the high-peak spectral features. This is aligned with the results of Li et al.\cite{Li2020}, demonstrating the importance of using first-order diffractions.

Colorchecker and Metamerism
Figure 8 shows the spectral accuracy of our DSL measured on a ColorChecker and metameric fake and real leaves. For smooth spectral curves of color patches, DSL successfully reconstructs the hyperspectral intensity. Also, DSL enables telling clear difference between fake and real leaves. We obtained the ground-truth intensity using a spectroradiometer.

Depth Accuracy
To assess the depth accuracy of our experimental prototype, we assess both relative and absolute depth errors. Figure 9(a) show that DSL accurately estimates the distance between two boxes, with a marginal error.
of only 1 mm. Figure 9(b) evaluates the absolute depth error by capturing a planar object mounted on a linear translation stage (Thorlabs #LTS150C). Across the working range of the translation stage with a 10 mm step size, DSL achieves an average depth error of 1.35 mm. Note that these experimental results are aligned with our synthetic experiments shown in Figure 4.

7. Conclusion

In this paper, we introduced DSL, an accurate, low-cost, and compact hyperspectral 3D imaging method. Our dispersion-aware image formation, per-pixel hyperspectral 3D reconstruction, and calibration enables accurate hyperspectral 3D imaging. DSL can be implemented in an affordable experimental prototype by using a diffraction grating adding sub-millimeter thickness at a cost of 10 USD. Our experimental prototype achieves depth error of 1 mm and spectral FWHM of 18.8 nm, outperforming prior work on practical hyperspectral 3D imaging. We find that DSL makes a step towards practical hyperspectral 3D imaging for applications beyond computer vision and graphics.

Limitations and Future Work While accurate and low-cost, our current experimental prototype takes around 10 minutes to capture a scene, restricting it to static scenes. Also, the low intensity of first-order diffracted light limits the working depth range to be less than a meter. For handling dynamic scenes and increasing depth range, we leave developing a light-efficient capture system and joint depth-spectrum reconstruction method as an interesting direction for future research. Another unexplored direction is to find the optimal design of the diffraction pattern for efficient and accurate hyperspectral 3D imaging. Recent differentiable optimization of imaging systems could be employed to achieve the goal. Lastly, the core principle of DSL can be applied to other spectral ranges beyond visible spectrum.

Acknowledgements Seung-Hwan Baek was supported by Korea NRF (RS-2023-00211658, 2022R1A6A1A03052954), Korea IITP MSIT (No.2019-0-01906, Artificial Intelligence Graduate School Program-POSTECH), and POSCO. Felix Heide was supported by an NSF CAREER Award (2047359), a Packard Foundation Fellowship, a Sloan Research Fellowship, a Sony Young Faculty Award, a Project X Innovation Award, and an Amazon Science Research Award.

References